



## RISK, AUDIT AND PERFORMANCE COMMITTEE

<b>Date of Meeting</b>	22.06.21
<b>Report Title</b>	Strategic Risk Register
<b>Report Number</b>	HSCP. 21.074
<b>Lead Officer</b>	Sandra Macleod, Chief Officer
<b>Report Author Details</b>	Name: Martin Allan Job Title: Business Manager Email Address: <a href="mailto:martin.allan3@nhs.net">martin.allan3@nhs.net</a>
<b>Consultation Checklist Completed</b>	Yes
<b>Appendices</b>	a. Strategic Risk Register

### 1. Purpose of the Report

- 1.1. To present the Risk, Audit and Performance Committee (RAPC) with the latest version of the Aberdeen City Health & Social Care Partnership's (ACHSCP) Strategic Risk Register.

### 2. Recommendations

- 2.1. It is recommended that the RAPC note the revised Strategic Risk Register at Appendix A.

### 3. Summary of Key Information

#### Updates on Strategic Risk Register

- 3.1. Since the Strategic Risk Register was last considered by the Committee in January 2021, the following major changes have been made: the risk on Exiting the EU has been removed from the Register (as agreed by the Integrated Joint Board (IJB) at its meeting on the 25 May 2021); and a new strategic risk has been added to the Register to reflect the inclusion of Integrated joint Boards as Category 1 Responders under the Civil Contingencies Act, 2004 (again agreed at the IJB in May 2021).



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- 3.2. The IJB at its meeting in May 2021 also asked that the strategic risks be reviewed and edited to make the risks as up-to-date as possible ahead of the planned IJB workshop to be held in October 2021. At this workshop Members of the Board will review both the Board's Risk Appetite Statement as well as the strategic risks. The editing of the risks will be undertaken over the summer period, ahead of the workshop.
- 3.3. The IJB on 25 May 2021, when discussing Risk 2 (Finances), agreed to review this risk (specifically the risk rating). It was agreed appropriate to review the rating after the financial monitoring report for Quarter 1 of 2021/22 has been published.
- 3.4. At an IJB workshop in October 2020, Members discussed the strategic risks in detail and considered that there was a risk in relation to the financial oversight of Hosted Services and that performance across the services was mixed. It was suggested that the impact for this risk should be moved from moderate to major and that this should be discussed further at RAPC.
- 3.5. At RAPC on 21 January 2021 it was agreed that the "deep dive" on this specific risk be brought back to the committee once work had concluded on an audit being undertaken by NHS Grampian on hosted services. Further consideration between the Chair of Committee and officers determined that further work be undertaken to look at both the services hosted by the City IJB, as well as those services being hosted by Aberdeenshire and Moray IJBs. Included in this review will be an examination of control measures and mitigating actions in place in relation to hosted services to help provide assurance to the IJB.
- 3.6. RAPC on the 27 April 2021 considered a proposal that the outcome of this review (including a sense check against the outcomes of the NHS Grampian Audit) be reported to the Committee on 23 September 2021. The IJB at its meeting on 25 May 2021 noted the timeline for this review.

### **4. Implications for IJB**

- 4.1. **Equalities** – while there are no direct implications arising directly as a result of this report, equalities implications will be considered when implementing certain mitigations.
- 4.2. **Fairer Scotland Duty** – while there are no direct implications arising directly because of this report, the Fairer Scotland duty will be taken into account, where appropriate, where implementing certain mitigations



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- 4.3. Financial** – while there are no direct implications arising directly as a result of this report financial implications will be taken into account when implementing certain mitigations.
- 4.4. Workforce** - there are no direct implications arising directly as a result of this report.
- 4.5. Legal** - there are no direct implications arising directly as a result of this report.
- 4.6. Covid-19** – The risk register references the Partnership’s involvement in various work and mitigations undertaken in the last 15 months relating to the COVID-19 pandemic.
- 4.7. Unpaid Carers** – There are no implications relating to unpaid carers in this report
- 4.8. Other** - there are no direct implications arising directly as a result of this report.

### **5. Links to ACHSCP Strategic Plan**

- 5.1.** Ensuring a robust and effective risk management process will help the ACHSCP achieve the strategic priorities as outlined in its strategic plan, as it will monitor, control and mitigate the potential risks to achieving these. The Strategic Risks have been aligned to the Strategic Plan 2019-2022.



### **6. Management of Risk**

- 6.1. Identified risks(s):** all known risks
- 6.2. Link to risks on strategic or operational risk register:** all risks as captured on the strategic risk register.



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- 6.3. **How might the content of this report impact or mitigate these risks:**  
Ensuring a robust and effective risk management process will help to mitigate all risks.

7. Approvals	
	Sandra Macleod (Chief Officer)
	Alex Stephen (Chief Finance Officer)