



INTEGRATION JOINT BOARD.

Report Title	The Corporate Risk Register
Lead Officer	Judith Proctor
Report Author	Kevin Toshney
Date of Report	17 th May 2016
Date of Meeting	28 TH June 2016

1: Purpose of the Report

This paper brings to the attention of the IJB, the partnership's corporate risk register comprising of the current strategic and operational risk registers.

2: Summary of Key Information

Development, Contents and Ownership of the Corporate Risk Register.

The Integration Scheme stresses the importance of a robust risk management reporting and assurance framework to good governance and the shadow Integration Joint Board recognised this by approving such a framework at its meeting in March 2016.

This Risk Management Framework is one of a suite of frameworks that have been factored into the Integration Joint Board's Assurance Framework showing how and where the IJB and its committees gets the necessary assurance about the governance, operation and performance of the partnership.

The approved Risk Management Framework incorporates a risk management policy, risk appetite statement and corporate risk register which itself contains two elements, the strategic and the corporate operational risk registers.

The strategic risk register (appendix one) sets out the high level risks which may threaten achievement of the IJB's strategic priorities, in order for the board to monitor its progress, demonstrate its attention to key accountability issues, ensure that it debates the right issue, and that it takes remedial actions to reduce risk to integration. Most importantly, it identifies the assurances and assurance routes



INTEGRATION JOINT BOARD.

against each risk and the associated mitigating actions.

The first version of the strategic risk register was developed by the IJB at a workshop facilitated by the Good Governance Institute after the first public meeting of the IJB in April 2016.

The Good Governance Institute recommended the format used by the strategic risk register as not only did it show on one page, the identified risk, an assessment of the likelihood and impact of harm, the mitigating measures and the assurance controls but it also crucially shows any variation in that risk assessment, identifies known assurance gaps and outlines current performance.

The Chief Officer and the Executive Group will agree what issues should be included in or removed from the Strategic Risk Register based on their own discussions and submit to the Audit and Performance Systems committee and then the IJB for formal review and approval.

The Audit and Performance Systems Committee reviews the Strategic Risk Register for the effectiveness of its management of risk and the wider assurance mechanisms.

The Corporate Operational Risk Register (appendix two) comprises high scoring operational risks or those which cannot be managed at a sector or service level. It has a different format from the strategic risk register as it reflects the Datix recording system previously agreed as forming the basis of our risk management processes.

It is understood that there may be some degree of commonality between the corporate operational risk register and the strategic risk register given the desire to evidence a strong strategic coherence and an alignment with the partnership's statement of intent and risk appetite statement. The connectivity between risk registers is preferable to disconnected isolation.

The Director of Operations will own the corporate operational risk register and it will be a standing item for discussion at the senior operational management team meetings. The Director of Operations will report changes to the risk register to the Chief Officer through the Executive Group.



INTEGRATION JOINT BOARD.

Audit & Performance Systems Committee – 31st May

The Corporate Risk Register was taken to the meeting of the Audit & Performance Systems Committee on the 31st of May. After useful discussion, the Committee agreed to the following recommendations:

1. Endorse the current strategic risk register contained within the corporate risk register.
2. Endorse the current corporate operational risk register contained within the corporate risk register.
3. Remit the reviewed corporate risk register to the next meeting of the Integration Joint Board with the committee's expressed opinion of how appropriate, comprehensive and effective it is deemed to be.
4. Request that the corporate risk register is updated by the appropriate risk owners and tabled as a standing item at future Committee meetings.

Additionally, the Committee agreed to:

1. Review the format of the registers every 6 months.
2. Changes would be tracked on the registers to record revisions.

The Role of the Integration Joint Board.

In line with the Board Assurance Framework (adopted by the sIJB at its meeting on 26th March), it is proposed that the Corporate Risk Register will be reported to the Board bi-monthly, demonstrating the changes in the risk profile of the IJB. The IJB has a role in moderating all escalated risks that are brought to its attention to ensure an appropriate and consistent response to the challenges that they present.

3: Equalities, Financial, Workforce and Other Implications

There are no obvious, immediate implications for the Equalities duties of the Integration Joint Board however robust, well managed risk registers should ensure that the potential for detriment to the many different citizens and their families who use and depend on our services is minimised.



INTEGRATION JOINT BOARD.

It is anticipated that any potential financial implications are minimised due to both the strategic and corporate operational risk registers identifying the risk of financial loss/harm and seeking to mitigate this possibility accordingly.

It is anticipated that the partnership's workforce will be reassured by the scrutiny that the IJB and the Audit and Performance Systems committee applies to the corporate risk register and its constituent elements to ensure that all risks are appropriately identified and mitigated.

4: Recommendations

The Integration Joint Board is asked to:

1. Note the recommendations agreed by the Audit and Performance Systems committee with respect to the Corporate Risk Register.
2. Endorse the Corporate Risk Register.
3. Agree that following appropriate scrutiny by the Audit and Performance Systems committee of the Corporate Risk Register, the strategic risk register will be presented to the IJB for further discussion and approval.