

## Assurance Map

### Cluster – Education Service

#### Cluster Risk Register Risks:

1. **Removal of Scottish Attainment Challenge funding** - If the Scottish Attainment Challenge Funding is removed schools will be unable to continue to afford interventions in place to support young people
2. **Risk of poor external inspection by HMIE or Care Inspectorate for schools with self-evaluation scores of weak or satisfactory.**
3. **Risk that rising numbers of COVID infections impact on staffing.**
4. **Risk that the number of Senior Leadership vacancies leads to staff in posts that are not of required quality**
5. **Education - Demographic demands** - Growing demographic demands result in service delivery pressures

First Line of Defence (Do-ers)	Second Line of Defence (Helpers)	Third Line of Defence (Checkers)
<ul style="list-style-type: none"> <li>• Trained and qualified staff</li> <li>• School Leadership Team oversight and quality assurance of day to day operations and finances in school</li> <li>• School health and safety procedures agreed annually</li> <li>• All staff involved in school risk assessment process</li> <li>• Designated school Health and Safety Reps</li> <li>• Risk assessments shared with all staff including Trade Union reps</li> <li>• School risk registers in place in all schools with effective mechanisms in place for review and escalation</li> <li>• School Business Continuity Plans in place</li> <li>• Tracking and monitoring arrangements in place in all schools to track attainment</li> <li>• School positive behaviour management procedure agreed yearly</li> </ul>	<ul style="list-style-type: none"> <li>• CMT Boards</li> <li>• Council Committees</li> <li>• Health and Safety guidance for schools</li> <li>• Identified health and safety team link for all schools</li> <li>• Child protection and safeguarding guidance and professional learning available to schools</li> <li>• Range of policies/procedures for schools to support consistent practice</li> <li>• Quality Improvement Framework setting expectations around accountability</li> <li>• Quality Improvement Visits to schools and Early Learning and Childcare provision</li> <li>• Quality Improvement Team monitoring of live data</li> <li>• Service Business Continuity Plan</li> <li>• Monitoring of complaints</li> <li>• Improvement groups comprising central Officers and school staff identify and address emerging risks</li> </ul>	<ul style="list-style-type: none"> <li>• Education Scotland inspections</li> <li>• Care Inspectorate Inspections</li> <li>• HSE inspections</li> <li>• Health and Safety Team compliance visits to schools</li> <li>• ECMT data reviews</li> <li>• External Audit</li> <li>• Monthly budget print outs</li> <li>• Annual Internal Audit Plan</li> <li>• Audit Scotland and National Audit reports</li> <li>• Internal Audit – Scottish Milk &amp; Healthy Snack Scheme – April 2023</li> <li>• Internal Audit – Pupil Equity Fund – 27/09/23</li> </ul>

<ul style="list-style-type: none"> <li>• School child protection and safeguarding procedures agreed yearly</li> <li>• Yearly analysis of school community data to inform the school improvement plan</li> <li>• Regular review of applications for school placements in order to identify emerging trends</li> <li>• Regular meetings with Higher Education to understand demand for school placements from international students</li> <li>• Calendar of data gathering around the health and wellbeing needs of pupils</li> <li>• Monitoring of levels of vacancy in schools and ELC provisions</li> <li>• In-service days and staff meetings to address any identified vulnerabilities</li> </ul>	<ul style="list-style-type: none"> <li>• Weekly Trade Union meetings</li> <li>• Engagement with Parents and Carers</li> <li>• Data dashboard and Risk Register discussed fortnightly by Senior Leadership Team</li> <li>• Regular finance meetings with Senior Leadership Team</li> <li>• Assurance Team</li> <li>• Regular contact with ADES and COSLA</li> </ul>	
--	--	--