

	A	B	C	D	E	F	G	H	I
8		Risk Register	Assurance that risks are being regularly reviewed in accordance with the organisation's agreed risk management policy, are kept under regular review with control actions monitored to completion, and are linked to the achievement of outcomes for the ALEO and the Council. This assurance will be provided through provision of risk registers and relevant supporting minutes/documentation.	13(b)	November	November	November	November	November
9		Internal and External Audit and Regulatory Compliance	Assurance that ALEOs have risk-based internal and external audit plans in place and a process to address and close out audit recommendations to completion. Assurance of readiness for external inspection by any relevant regulatory/accreditation bodies and compliance with any recommendations made. Assurance that there have been no internal control failures or that any failures have been addressed and/or notified if they are of significance to the Council.	13(f)	June	June	June	n/a	June
10		Resilience	Assurance that emergency plans/major incident plans and processes and appropriate business continuity arrangements are in place and kept under review. Assurance that testing and exercising arrangements or schedules are in place which recognise the evolving risk environment for the city; in particular that provision is made within plans and exercising to ensure resilience around critical service delivery; that each organisation has an awareness of the Council's responsibilities as a Cat 1 responder under the Civil Contingencies Act and has agreed mechanisms in place to support these; and that each organisation is fully conversant with the implications for their operations of the CONTEST Strategy (UK Govt strategy for counter-terrorism), in particular in respect of the Prevent and Protect duties.	13(e)	June November	June November	June November	n/a	June November
11	Finance	Quarterly Trading Accounts	Assurance that accounts are being managed within budget, that the level of financial risk to the Council is low and that there is compliance with the Following the Public Pound Code of Practice.	14	June November	June November	June November	June November	June November
12		Medium-Term Financial Planning and Financial Resilience	Assurance that ALEOs undertake medium-term financial planning or have incorporated medium term planning into a Business Plan to provide assurance that ALEOs are prepared for core funding pressures. Again this ensures compliance with the Following the Public Pound Code of Practice.	14	June November	June November	June November	June November	June November

	A	B	C	D	E	F	G	H	I
13		Audited Annual Accounts 2024-25	Assurance that accounts are being managed within budget, are in line with statutory requirements and Following the Public Pound Code of Practice, and that the level of financial risk to the Council is low.	14	June	June	November	June	June